

### **RESULT OF THE E-VOTING**

In view of the General Circular dated 8th April 2020, 13th April 2020 and 5th May 2020, issued by the Ministry of Corporate Affairs and Circular dated 12th May 2020 issued by the Securities and Exchange Board of India, the 26th Annual General Meeting of the Company is being conducted through Video Conferencing.

Pursuant to the provisions of Section 108 of the Companies Act 2013 and Regulation 44 of the Securities and Exchange Board of India ( Listing Obligations and Disclosure Requirements) Regulations, 2015 and Rule 20 of the Companies (Management and Administration) Rules, 2015 the Company had provided remote e-voting facility through Central Depository Services ( India) Limited ( CDSL) to the shareholders to cast their votes in respect of the Ordinary and Special Resolutions as mentioned in the Notice of the 26<sup>th</sup> Annual General Meeting held on 28<sup>th</sup> August, 2020 at 11.00.a.m. The Company also provided facility of e-voting during the AGM to those share holders who had attended the meeting but did not caste their votes through e-voting. The remote e-voting period began on 25<sup>th</sup> August 2020 at 9.00 am and ended on 27<sup>th</sup> August 2020 at 5.00 pm.

Mr. Mitesh Dhabliwala of M/s Parikh & Associates, Company Secretary in whole-time practice, was appointed as Scrutinizer to scrutinize the votes cast through remote e-voting facility and votes cast through E-voting at the AGM. Based on the report of the Scrutinizer following, is the result of the Remote e-voting and E-voting at the AGM through Vedio Confercing (VC) /Other Audio Visual Means (OAVM):

#### **Resolution 1: Ordinary Resolution**

To receive, consider and adopt the audited financial statements including audited consolidated financial statements of the Company for the financial year ended 31st March, 2020 together with the reports of the Board of Directors and Auditors thereon.

(i) Voted in favour of the resolution:

Number of members voted	Number of valid votes cast by them	% of total number of valid votes cast
73	1,87,19,541	100.00 (Rounded off)

(ii) Voted against the resolution:

Number of members voted	Number of valid votes cast	% of total
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Administrative Office: Paragon Centre, C-06, Ground Floor, Panchsagar Road, Opp. Bala Chaturani, Worli, Mumbai - 400 013. Tel: +91 22 6629 9236 Fax: +91 22 6629 9100  
Registered Office: The Ruby, 7th Floor, Sarojini Baal, Dadar (West), Mumbai - 400 028. Tel: +91 22 6612 1212 Fax: +91 22 6612 1299 www.emkayglobal.com CIN - L87120MH1955PLC064889

**EMKAY GLOBAL FINANCIAL SERVICES LIMITED**

	by them	number of valid votes cast
3	3	0.00

(iii) Invalid votes:

Number of members whose votes were declared invalid	Number of invalid votes cast by them
NIL	NIL

The above Resolutions have, therefore, been approved by the Shareholders with requisite majority.

### **Resolution 2: Ordinary Resolution**

To appoint a director in place of Mrs. Preeti Kacholia (DIN-03481747), who retires by rotation and being eligible, offers herself for re-appointment.

(i) Voted in favour of the resolution:

Number of members voted	Number of valid votes cast by them	% of total number of valid votes cast
73	1,87,19,541	100.00 (Rounded off)

(ii) Voted against the resolution:

Number of members voted	Number of valid votes cast by them	% of total number of valid votes cast
3	3	0.00

(iii) Invalid votes:





Number of members whose votes were declared invalid	Number of invalid votes cast by them
NIL	NIL

The above Resolutions have, therefore, been approved by the Shareholders with requisite majority.

**Resolution 3: ~~Ordinary~~ Resolution**

**Approval for Payment of Commission to Non-Executive Directors**

(i) Voted in favour of the resolution:

Number of members voted	Number of valid votes cast by them	% of total number of valid votes cast
72	1,87,19,540	100.00 (Rounded off)

(ii) Voted against the resolution:

Number of members voted	Number of valid votes cast by them	% of total number of valid votes cast
4	4	0.00

(iii) Invalid votes:

Number of members whose votes were declared invalid	Number of invalid votes cast by them
NIL	NIL

The above Resolutions have, therefore, been approved by the Shareholders with requisite majority.



#### Resolution 4: Special Resolution

**Approval for Continuation of Directorship of Dr. Satish Ugrankar (DIN - 00043783) as an Independent Director.**

(i) Voted in favour of the resolution:

Number of members voted	Number of valid votes cast by them	% of total number of valid votes cast
73	1,87,19,541	100.00 (Rounded off)

(ii) Voted against the resolution:

Number of members voted	Number of valid votes cast by them	% of total number of valid votes cast
3	3	0.00

(iii) Invalid votes:

Number of members whose votes were declared invalid	Number of invalid votes cast by them
Nil	Nil

The above Resolutions have, therefore, been approved by the Shareholders with requisite majority.

#### Resolution 5: Special Resolution

**Approval for Continuation of Directorship of Mr. R. K. Krishnamurthi (DIN- 00464622) as an Independent Director.**

(i) Voted in favour of the resolution:



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Number of members voted	Number of valid votes cast by them	% of total number of valid votes cast
73	1,87,19,541	100.00 (Rounded off)

(ii) Voted **against** the resolution:

Number of members voted	Number of valid votes cast by them	% of total number of valid votes cast
3	3	0.00

(iii) **Invalid** votes:

Number of members whose votes were declared invalid	Number of invalid votes cast by them
NIL	NIL

#### Resolution 6: Special Resolution

**Approval for Continuation of Directorship of Dr. Bharat Kumar Singh (DIN-00274435) as an Independent Director.**

(i) Voted in **favour** of the resolution:

Number of members voted	Number of valid votes cast by them	% of total number of valid votes cast
73	1,87,19,541	100.00 (Rounded off)

(ii) Voted **against** the resolution:

Number of members voted	Number of valid votes cast by them	% of total number of valid votes cast
3	3	0.00



(iii) **Invalid votes:**

Number of members whose votes were declared invalid	Number of invalid votes cast by them
Nil	Nil

The above Resolutions have, therefore, been approved by the Shareholders with requisite majority.

**For, Emkay Global Financial Services Limited**

KRISHNA  
KUMAR KARWA

Digitally signed by  
KRISHNA KUMAR KARWA  
Date: 2020.08.28  
19:42:14 +05'30'

**Krishna Kumar Karwa**  
**Managing Director**

Place: Mumbai  
Date: 28.08.2020



Administrative Office: Pentagon Centre, C-06, Ground Floor, Pandurang Budhkar Marg, Opp. Birla Centurion, Worli, Mumbai - 400 013. Tel: +91 22 6529 9209 Fax: +91 22 6529 9305  
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**EMKAY GLOBAL FINANCIAL SERVICES LIMITED**

**PARIKH & ASSOCIATES**  
**COMPANY SECRETARIES**

Office

111, 11<sup>th</sup> Floor, Sai-Dwar CHS Ltd  
Sab TV Lane, Opp. Laxmi Industrial  
Estate, Off Link Road, Above Shabari  
Restaurant, Andheri (W), Mumbai :  
400053  
Tel No 26301232 / 26301233 /  
26301240  
Email: [cs@parikhassociates.com](mailto:cs@parikhassociates.com)  
[parikh.associates@rediffmail.com](mailto:parikh.associates@rediffmail.com)

To,  
The Chairman  
Emkay Global Financial Services Limited  
The Ruby, 7th Floor, Senapati Bapat Marg, Dadar (West),  
Mumbai - 400028

Dear Sir,

**Sub: Consolidated Scrutinizer's Report on remote e-voting conducted pursuant to the provisions of Section 108 of the Companies Act, 2013 read with Rule 20 of the Companies (Management and Administration) Rules, 2014 as amended by Companies (Management and Administration) Amendment Rules, 2015 and remote e-voting during the AGM for the 26<sup>th</sup> Annual General Meeting of Emkay Global Financial Services Limited held on Friday, August 28, 2020 at 11.00 a.m. (IST) through video conferencing ('VC') / other audio visual means ('OAVM').**

I, Mitesh Dhaliwala, of Parikh & Associates, Practising Company Secretaries, had been appointed as the Scrutinizer by the Board of Directors of Emkay Global Financial Services Limited pursuant to Section 108 of the Companies Act, 2013 ("the Act") read with Rule 20 of the Companies (Management and Administration) Rules, 2014, as amended, to conduct the remote e-voting process in respect of the below mentioned resolutions proposed at the 26<sup>th</sup> Annual General Meeting ("AGM") of Emkay Global Financial Services Limited on Friday, August 28, 2020 at 11.00 a.m.(IST) through VC/OAVM.

I was also appointed as Scrutinizer to scrutinize the remote e-voting process during the said AGM.

The notice dated June 17, 2020, convening the AGM, as confirmed by the Company was sent to the shareholders in respect of the below mentioned resolutions proposed to be passed at the AGM of the Company through electronic mode to those Members whose email addresses are registered with the Company/ Depositories, in compliance with the MCA Circular dated May 5, 2020 read with circulars dated April 8, 2020 and April 13, 2020 (collectively referred to as "MCA Circulars") and SEBI Circular dated May 12, 2020.



The Company had availed the e-voting facility offered by Central Depository Services (India) Limited ('CDSL') for conducting remote e-voting by the Shareholders of the Company.

The voting period for remote e-voting commenced on Tuesday, August 25, 2020 (9:00 a.m. IST) and ended on Thursday, August 27, 2020 (5:00 p.m. IST) and the CDSL e-voting platform was disabled thereafter.

The Company had also provided remote e-voting facility to the shareholders present at the AGM through VC / OAVM and who had not cast their vote earlier.

The shareholders of the Company holding shares as on the "cut-off" date of Friday, August 21, 2020 were entitled to vote on the resolutions as contained in the Notice of the AGM.

After the closure of e-voting at the AGM, the report on remote voting done during the AGM and the votes cast under remote e-voting facility prior to the AGM were unblocked and counted.

I have scrutinized and reviewed the remote e-voting prior to and during the AGM and votes cast therein based on the data downloaded from the CDSL e-voting system.

The Management of the Company is responsible to ensure compliance with the requirements of the Act and rules relating to remote e-voting prior to and during the AGM on the resolutions contained in the notice of the AGM.

My responsibility as scrutinizer for the remote e-voting is restricted to making a Scrutinizer's Report of the votes cast in favour or against the resolutions.

I now submit my consolidated report as under on the result of the remote e-voting prior to and during the AGM in respect of the said resolutions.



**Resolution 1: Ordinary Resolution**

**To receive, consider and adopt the audited financial statements including audited consolidated financial statements of the Company for the financial year ended 31st March, 2020 together with the reports of the Board of Directors and Auditors thereon.**

(i) Voted **in favour** of the resolution:

Number of members voted	Number of valid votes cast by them	% of total number of valid votes cast
73	1,87,19,541	100.00(Rounded-off)

(ii) Voted **against** the resolution:

Number of members voted	Number of valid votes cast by them	% of total number of valid votes cast
3	3	0.00

(iii) **Invalid** votes:

Number of members whose votes were declared invalid	Number of invalid votes cast by them
NIL	NIL

**Resolution 2: Ordinary Resolution**

**To appoint a director in place of Mrs. Preeti Kacholia (DIN-03481747), who retires by rotation and being eligible, offers herself for re-appointment.**

(i) Voted **in favour** of the resolution:

Number of members voted	Number of valid votes cast by them	% of total number of valid votes cast
73	1,87,19,541	100.00(Rounded-off)

(ii) Voted **against** the resolution:

Number of members voted	Number of valid votes cast by them	% of total number of valid votes cast
3	3	0.00

(iii) **Invalid** votes:

Number of members whose votes were declared invalid	Number of invalid votes cast by them
NIL	NIL



**Resolution 3: Ordinary Resolution****Payment of Commission to Non-Executive Directors**(i) Voted **in favour** of the resolution:

Number of members voted	Number of valid votes cast by them	% of total number of valid votes cast
72	1,87,19,540	100.00(Rounded-off)

(ii) Voted **against** the resolution:

Number of members voted	Number of valid votes cast by them	% of total number of valid votes cast
4	4	0.00

(iii) **Invalid** votes:

Number of members whose votes were declared invalid	Number of invalid votes cast by them
NIL	NIL

**Resolution 4: Special Resolution****Continuation of Directorship of Dr. Satish Ugrankar (DIN - 00043783) as an Independent Director**(i) Voted **in favour** of the resolution:

Number of members voted	Number of valid votes cast by them	% of total number of valid votes cast
73	1,87,19,541	100.00(Rounded-off)

(ii) Voted **against** the resolution:

Number of members voted	Number of valid votes cast by them	% of total number of valid votes cast
3	3	0.00

(iii) **Invalid** votes:

Number of members whose votes were declared invalid	Number of invalid votes cast by them
NIL	NIL



**Resolution 5: Special Resolution**

**Continuation of Directorship of Mr. R. K. Krishnamurthi (DIN-00464622) as an Independent Director.**

(i) Voted **in favour** of the resolution:

Number of members voted	Number of valid votes cast by them	% of total number of valid votes cast
73	1,87,19,541	100.00(Rounded-off)

(ii) Voted **against** the resolution:

Number of members voted	Number of valid votes cast by them	% of total number of valid votes cast
3	3	0.00

(iii) **Invalid** votes:

Number of members whose votes were declared invalid	Number of invalid votes cast by them
NIL	NIL

**Resolution 6: Special Resolution****Continuation of Directorship of Dr. Bharat Kumar Singh (DIN-00274435) as an Independent Director.**(i) Voted **in favour** of the resolution:

Number of members voted	Number of valid votes cast by them	% of total number of valid votes cast
73	1,87,19,541	100.00(Rounded-off)

(ii) Voted **against** the resolution:

Number of members voted	Number of valid votes cast by them	% of total number of valid votes cast
3	3	0.00

(iii) **Invalid** votes:

Number of members whose votes were declared invalid	Number of invalid votes cast by them
NIL	NIL

Thanking you,  
Yours faithfully,

Mitesh  
Dhabliwala

Digitally signed by Mitesh Dhabliwala  
DN: c=IN, o=Personal, postalCode=400056, st=Maharashtra,  
2.5.4.20=f3dfe8136c49c0a2d48dd81e0a324bcccda8397ae00  
205ac2f013a86568b7f9  
serialNumber=276a7ae95c804a7001ebcf53a8edc03272635de  
80558851e400275756775fa, cn=Mitesh Dhabliwala  
Date: 2020.08.28 16:25:24 +05'30'

Mitesh Dhabliwala  
**Parikh & Associates**  
**Practising Company Secretaries**  
FCS: 8331 CP No.: 9511  
111,11th Floor, Sai Dwar CHS Ltd  
Sab TV Lane, Opp. Laxmi Indl. Estate,  
Off Link Road, Above Shabari Restaurant,  
Andheri West, Mumbai – 400053

Place: Mumbai  
Dated: August 28, 2020